

22859 U.S. PTO

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Certification under 37 CFR §1.10 (if applicable)**

**EV 336043085 US**

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**March 24, 2004**

Date of Deposit

I hereby certify that this transmittal and the documents and/or fees hereon and attached hereto have been deposited as "Express Mail Post Office to Addressee" in accordance with 37 CFR §1.10, with Express Mail Label Number above listed.

Signor: Valerie Peterson

Print name: Valerie Peterson

**Transmittal of Utility Patent Application**  
**for Filing Under 37 CFR §1.53(b)**

**Mail Stop: Patent Application**

**Commissioner for Patents**

**P.O. Box 1450**

**Alexandria, VA 22313-1450**

Sir: Transmitted herewith for filing is a patent application by inventors: Udo Klein and Paul Volker, and entitled:

**DATABASE SYSTEM AND METHOD WITH IMPROVED LOCKS**

1. Enclosed are:

- ☒ This Transmittal letter
- ☒ One stamped, self-addressed postcard for PTO datestamp
- ☒ Certificate of Express Mail
- ☒ One utility patent application containing: 12 pages Specification; 9 pages Claims; and 1 page Abstract; and
- ☒ 7 Sheets of drawings; Figs 1 through 6
- ☒ Inventorship Declaration by Joint Inventors (signed) (2 pgs.)
- ☒ Original Assignment for recordation (2 pgs.)
- ☒ Recordation Form Cover Sheet Patent Only (1 pg.)
- ☒ Check for fees described below

2. U.S. Priority

- ☐ This application claims the benefit of U.S. Provisional Application No. «SerialNo» filed «FileDate», which is incorporated herein in its entirety by reference. *(also type on P.1 of Spec.)*
- ☐ Conditional Petition for Extension of Time: An Extension of Time is requested to provide for timely filing *if* required to establish copendency with the parent after all papers filed herewith have been considered.

3. Foreign Priority

- ☐ Priority of Application No. \_\_\_\_\_ filed in Japan on \_\_\_\_\_ is claimed under 35 USC §119. ☐ A certified copy of this priority document is enclosed.
- ☐ Priority of Application No. \_\_\_\_\_ filed in Japan on \_\_\_\_\_ is claimed under 35 USC §119. ☐ A certified copy of this priority document is enclosed.

4. Fees

The filing fee has been calculated as shown below:

| For:  | (Col. 1)<br>No.<br>Filed | (Col. 2)<br>No.<br>Extra | Small Entity |          |        | Other Than a<br>Small Entity |            |
|---|--------------------------|--------------------------|--------------|----------|--------|------------------------------|------------|
|   |                          |                          | Rate         | Fee      |        | Rate                         | Fee        |
| Basic Fee   |                          |                          |              | \$385.00 | Or     |                              | \$770.00   |
| Total Claims  | 34 - 20                  | 14                       | X \$ 9 =     | \$       | Or     | X \$ 18 =                    | \$258.00   |
| Independent Claims  | 6 - 3                    | 3                        | X \$43 =     | \$       | Or     | X \$ 86 =                    | \$252.00   |
| <input type="checkbox"/> Multiple Dependent Claim Presented             |                          |                          | + \$145 =    | \$       | Or     | + \$290 =                    | \$ -0-     |
| <input checked="" type="checkbox"/> Assignment recordation fee (\$40)   |                          |                          |              |          |        |                              | \$ 40.00   |
| *If the difference in Col. 1 is less than zero,<br>enter "0" in Col. 2. |                          |                          | TOTAL        | \$       | O<br>r | TOTAL                        | \$1,320.00 |

- ☐ Applicant claims small entity status. See 37 CFR §1.27.
- ☒ Check no. 2170 in the combined amount of \$1,320.00 is enclosed to cover the Filing Fees, and the recordation of assignment fees.
- ☒ The Perkins Coie LLP Customer Number is: 22918
- ☐ Please charge Deposit Account No. 50-2207 in the amount of \$\_\_\_\_\_.
- ☒ For the prosecution of this application, the Commissioner for Patents is hereby authorized to charge fees under 37 CFR §1.16 and §1.17 which may be required, or credit any overpayment to Deposit Account No. 50-2207.

Respectfully submitted,  
Perkins Coie LLP

Date: March 24, 2004

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